

# Wholesale Investor Certificate



Chapters 6D or 7 of the Corporations Act 2001.  
Please use BLOCK letters to complete this application form

Name of Investor(s)

## H.1 Investor Category

Please select the category that best describe the investor

Sophisticated Investor

Investor 1	Investor 2	Qualifying criteria
<input type="checkbox"/>	<input type="checkbox"/>	I am applying for AU\$500,000 or more worth of Units
<input type="checkbox"/>	<input type="checkbox"/>	I have net assets of at least AU\$2.5 million (please arrange for Qualified Accountant to complete Section H.2 below)
<input type="checkbox"/>	<input type="checkbox"/>	I have earned at least AU\$250,000 per annum gross income for each of the last two (2) financial years (please arrange for Qualified Accountant to complete Section H.2 below)

Professional Investor

Investor 1	Investor 2	Qualifying criteria
<input type="checkbox"/>	<input type="checkbox"/>	I have or control gross assets of at least AU\$10 million (please arrange for Qualified Accountant to complete Section H.2 below)
<input type="checkbox"/>	<input type="checkbox"/>	I hold an Australian Financial Services Licence number (please provide AFSL no.) <input type="text"/>

Please contact the Investment Manager if you do not fit the above categories.

## H.2 Qualified Accountant<sup>#</sup> Certification

**To be completed by a Qualified Accountant**

Full Name of Qualified Accountant

Unit no      Street no      Street name

  

Suburb/town      State      Postcode      Country

   

Phone      Mobile

 

Email

Professional body<sup>#</sup> (e.g. CPA Australia/Chartered Accountants Australia)      Membership No      Membership Designation (e.g. CPA)

  

I confirm that I comply with this body's continuing professional education requirements. I certify that the above named person/entity is a Qualifying Investor in accordance with the category indicated in Section H.1 above.

Signature

Date

<sup>#</sup>For information on this certificate or a list of accountant qualifications, please refer to <https://asic.gov.au/regulatory-resources/financial-services/financial-product-disclosure/certificates-issued-by-a-qualified-accountant/>